

PATENT

**DORSEY & WHITNEY LLP**US BANK CENTRE  
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SEATTLE WA 98101FACSIMILE COVER SHEET  
(206) 903-8820

December 8, 2004

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TO: Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450

FAX # (703) 872-9306

FROM: Marcus Simon, Esq.

TELEPHONE : (206) 903-8800 Main; (206) 903-8787 Direct

Applicant : Vishnu K. Agarwal

Attorney Docket No.: 501082.06

Serial No. : 09/652,842

Group Art Unit : 2825

Filed : August 31, 2000

Examiner : Behur V. Keshavan

Confirmation No. : 4065

Title : DEVICE AND METHOD FOR PROTECTING AGAINST OXIDATION OF A  
CONDUCTIVE LAYER IN SAID DEVICE

Date Sent : December 8, 2004

**Documents Attached for Filing:**

	<u>No. Pages</u>
Supplemental Information Disclosure Statement	2
Fee Transmittal Sheet (+ copy)	2
Form PTO-1449	1
<u>Total Number of Pages</u> (including cover sheet):	6

**Original will not be sent**

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PLEASE CONTACT CAROLYN L. ROSS AT (206) 903-8813 IF TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.

# FEE TRANSMITTAL SHEET (FOR FY 2004)

## Complete If Known

Application No. 09/652,842  
Filing Date August 31, 2000  
First Named Inventor Vishnu K. Agarwal  
Group Art Unit 2825  
Examiner Belur V. Keshavan  
Atty. Docket Number 501082.06

### METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1286; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☐ Check Enclosed

### FEE CALCULATION

#### 1. BASIC FILING FEE

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1001	790	2001	385	Utility Filing Fee
1002	350	2002	170	Design Filing Fee
1003	550	2003	285	Plant Filing Fee
1004	790	2004	385	Reissue Filing Fee
1005	160	2005	80	Provisional Filing Fee

Subtotal (1) \$ 0

#### 2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	-	20	=	\$ 0
Ind.	-	3	=	\$ 0
Multiple Dependent Claims			x	\$ =

Subtotal (2) \$ 0

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
1201	88	2201	44	Independent claims in excess of 3
1202	18	2202	9	Claims in excess of 20
1203	300	2203	150	Multiple dependent Claim
1204	88	2204	44	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

### FEE CALCULATION (Continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee paid
1301	130	2301	65	Surcharge - Late filing fee or oath	\$
1302	50	2302	25	Surcharge - late provisional filing fee or cover sheet	\$
1303	130	2303	130	Non-English specification	\$
1312	2,620	2312	2,620	For Filing a Request for ex parte Reexamination	\$
1304	920	2304	920	Requesting publication of SIR prior to Examiner action	\$
1305	1,840	2305	1,840	Requesting publication of SIR after Examiner action	\$
1251	110	2251	55	Extension for reply within first month	\$
1252	430	2252	215	Extension for reply within 2 <sup>nd</sup> month	\$
1253	980	2253	490	Extension for reply within 3 <sup>rd</sup> month	\$
1254	1,530	2254	785	Extension for reply within 4 <sup>th</sup> month	\$
1255	2,080	2255	1,040	Extension for reply within 5 <sup>th</sup> month	\$
1401	340	2401	170	Notice of Appeal	\$
1402	340	2402	170	Filing a brief in support of an appeal	\$
1403	300	2403	150	Request for oral hearing	\$
1412	110	2412	55	Terminal Disclaimer Fee	\$
1452	110	2452	55	Petition to revive - unavoidable	\$
1453	1,370	2453	685	Petition to revive - unintentional	\$
1501	1,370	2501	685	Utility/Reissue issue fee	\$
1502	490	2502	245	Design issue fee	\$
1503	660	2503	330	Plant issue fee	\$
1460	130	2460	130	Petitions to the Commissioner	\$
1607	50	2607	50	Processing fee under 37 CFR 1.17(q)	\$
1806	180	2806	180	Submission of IDS	\$
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$
1801	780	2801	395	Request for Continued Examination (RCE)	\$
Other fee (specify)					\$
Subtotal (3)					\$ 0

Total Amount of Payment: \$ 0

Submitted by:

Name: Marcus Simon

Reg. No.: 50,258

Telephone: (206) 903-8800

Signature: *Marcus Simon*

Date: December 8, 2004

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# FEE TRANSMITTAL SHEET (FOR FY 2004)

## Complete if Known

Application No.	09/652,842
Filing Date	August 31, 2000
First Named Inventor	Vishnu K. Agarwal
Group Art Unit	2825
Examiner	Belur V. Keshavan
Atty. Docket Number	501082.06

## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1286; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☐ Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Fee (\$)	Small Entity	Fee (\$)	Fee Description
	790	385		<input type="checkbox"/> Utility Filing Fee
	350	170		<input type="checkbox"/> Design Filing Fee
	550	265		<input type="checkbox"/> Plant Filing Fee
	790	385		<input type="checkbox"/> Reissue Filing Fee
	160	80		<input type="checkbox"/> Provisional Filing Fee

Subtotal (1) \$ 0

### 2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	-	20	x \$	\$ 0
Ind.	-	3	x \$	\$ 0
Multiple Dependent Claims			x \$	\$

Subtotal (2) \$ 0

Large Entity	Fee (\$)	Small Entity	Fee (\$)	Fee Description
88	44			Independent claims in excess of 3
18	9			Claims in excess of 20
300	150			Multiple dependent Claim
88	44			Reissue independent claims over original patent
18	9			Reissue claims in excess of 20 and over original patent

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity	Fee (\$)	Small Entity	Fee (\$)	Fee Description	Fee paid
130	66			Surcharge - Late filing fee or oath	\$
50	25			Surcharge - late provisional filing fee or cover sheet	\$
130	130			Non-English specification	\$
2,520	2,520			For Filing a Request for ex parte Reexamination	\$
920	920			Requesting publication of SIR prior to Examiner action	\$
1,840	1,840			Requesting publication of SIR after Examiner action	\$
110	55			Extension for reply within first month	\$
430	215			Extension for reply within 2 <sup>nd</sup> month	\$
980	490			Extension for reply within 3 <sup>rd</sup> month	\$
1,530	765			Extension for reply within 4 <sup>th</sup> month	\$
2,080	1,040			Extension for reply within 5 <sup>th</sup> month	\$
340	170			Notice of Appeal	\$
340	170			Filing a brief in support of an appeal	\$
300	150			Request for oral hearing	\$
110	55			Terminal Disclaimer Fee	\$
110	55			Petition to revive - unavoidable	\$
1,370	685			Petition to revive - unintentional	\$
1,370	685			Utility/Reissue issue fee	\$
490	245			Design issue fee	\$
660	330			Plant issue fee	\$
130	130			Petitions to the Commissioner	\$
50	50			Processing fee under 37 CFR 1.17(q)	\$
180	180			Submission of IDS	\$
40	40			Recording each patent assignment per property (times number of properties)	\$
790	385			Request for Continued Examination (RCE)	\$

Other fee (specify)

Subtotal (3) \$ 0

Total Amount of Payment: \$ 0

Submitted by:

Name: Marcus Simon

Reg. No.: 50,258

Telephone: (206) 903-8800

Signature: *Marcus Simon*

Date: *December 8, 2004*

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PATENT

I hereby certify that on the date specified below, this correspondence is being transmitted via facsimile to The Commissioner for Patents at (703) 872-9306.

December 8, 2004  
Date

Carolyn L. Ross  
Carolyn L. Ross

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No.	: 09/652,842	Confirmation No.	: 4065
Applicant	: Vishnu K. Agarwal		
Filed	: August 31, 2000	Attorney Docket No.:	501082.06
Art Unit	: 2825	Customer No.	: 27,076
Examiner	: Belur V. Keshavan		
Title	: DEVICE AND METHOD FOR PROTECTING AGAINST OXIDATION OF A CONDUCTIVE LAYER IN SAID DEVICE		

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/200,253, filed November 25, 1998. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he

1 of 2

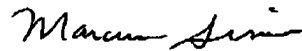
App. No. 09/652,842

is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP



Marcus Simon  
Registration No. 50,258

Enclosure:

Fee Transmittal (+ copy)  
Form PTO-1449

1420 Fifth Avenue, Suite 3400  
Seattle, WA 98101-4010  
Telephone: (206) 903-8800  
Facsimile: (206) 903-8820

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Sheet 1 of 1

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 501082.06		APPLICATION NO. 09/652,842	
<b>INFORMATION DISCLOSURE STATEMENT</b> <i>(Use several sheets if necessary)</i>				APPLICANT(S) Vishnu K. Agarwal			
				FILING DATE August 31, 2000		GROUP ART UNIT 2825	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
AA	5,858,831	01/12/99	Sung	438	241		
AB	5,963,826	10/05/99	Takanabe et al.	438	622		
AC	6,010,931	01/04/00	Sun et al.	438	240		
AD	6,081,010	06/27/00	Sanchez	257	345		
AE	6,114,200	09/05/00	Yew et al.	438	253		
AF	6,146,959	11/14/00	DeBoer et al.	438	393		
AG							
AH							
AI							
AJ							

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
						YES	NO	
AK								
AL								
AM								
AN								
AO								

OTHER PRIOR ART <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE
AP		
AQ		
AR		

EXAMINER	DATE CONSIDERED
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**\* EXAMINER:** Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).